

# UTILITY PATENT APPLICATION TRANSMITTAL

□ DUPLICATE

Address to: Assistant Commissioner for Patents Box PATENT APPLICATION Washington, DC 20231	Attorney Docket No.	BEU/FORESITE4
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Transmitted herewith is a patent application under 37 CFR 1.53(b).

Entitled: **SPLIT IMAGE STEREOSCOPIC SYSTEM AND METHOD**

- ☒ 1. Submitted herewith are the following:
- 15 pages of specification and 1 Application Cover.
  - 1 Abstract.
  - 1 sheet(s) of drawings (Figures 1-6, 7A, 7B and 7C) - Informal.
  - 6 pages of claim(s) 1-20 (5 Indp./15 Dep./0 Multiple Dep.).
  - 0 Oath/Declaration signed by each inventor.
  - 0 signed Inventor Small Entity Statement(s).
  - 0 signed non-Inventor Small Entity Statement(s).
  - 0 signed Small Business Small Entity Statement(s).
  - 0 signed Non-Profit Small Entity Statement(s).
  - 0 Preliminary Amendment.
  - 0 Information Disclosure Statement(s).
  - 0 pages of Form PTO-1449, and one copy of each document listed thereon.
  - 0 Assignment of the invention, Cover Sheet, and payment of the \$ \_\_\_\_\_ recordation fee.
  - 0 certified copy of application no. \_\_\_\_\_ filed in \_\_\_\_\_. Priority is claimed.
  - 0 check in the amount of \$ \_\_\_\_\_ including any assignment recordation fee.
- ☐ 2. The Commissioner is authorized to credit any overpayment and charge any deficiency in any fees required under 37 CFR 1.16 and/or 1.17, to Deposit Account No. 02-0200.
- ☐ 3. Insert before the first sentence of the specification: -- This application claims the benefit of provisional application number \_\_\_\_\_ filed \_\_\_\_\_, --
- ☐ 4. Insert before the first sentence of the specification: -- This application is a Continuation-in-part of nonprovisional application number \_\_\_\_\_ filed \_\_\_\_\_, --
- ☒ 5. This application is being filed WITHOUT a signed Declaration and WITHOUT fees.

## THE FILING FEE IS CALCULATED AS FOLLOWS:

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APPLICATION FOR  
UNITED STATES LETTERS PATENT

of

Kenneth J. MYERS

for

**Split Image Stereoscopic System and Method**

Attorney Docket No.: BEU/Foresite4

## **Split Image Stereoscopic System And Method**

This application is a continuation-in-part of U.S. Patent Application Ser. No. 09/481,942, filed January 14, 2000, and incorporated herein by reference.

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### **BACKGROUND OF THE INVENTION**

#### **1. Field of the Invention**

The invention relates to a system and method of stereoscopic imaging, and in particular to a stereoscopic system and method in which the left and right eye images are separately displayed before interlacing so that they can be more easily polarized. This is made possible by using a microprism sheet to interlace the separate oppositely polarized images.

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## 2. Description of Related Art

The present invention provides various improvements on the concept of using microprism sheets to interlace images in a stereoscopic imaging system, as disclosed in  
5 copending U.S. Patent Application Ser. No. 09/481,942. The improvements include the identification of additional image sources to which the interlacing arrangements may be applied, modifications of the microprism sheets that are used to interlace the images, and integration of the image  
10 interlacing arrangements into stereoscopic video devices that can be used as handheld video game players, visors, and the like.

The invention offers a solution to a number of technical difficulties that have heretofore limited  
15 stereoscopic or "3D" devices to unappealing novelty items, implemented in the form of cardboard glasses with blue and red cellophane lenses distributed at fast food restaurants. In particular, the invention makes it possible to use polarizers and polarizing filters rather than color filters  
20 to distinguish between left and right eye images by providing a simple way of combining or interlacing the images following polarization, without the need for beam splitters or other sophisticated optical or opto-electronic systems.

The basic principles of stereoscopic imaging are well-known. Human vision is stereoscopic because each eye views the same scene from a different angle. The two separate images are combined by the brain to create a stereoscopic effect. In order to recreate the stereoscopic appearance of a scene on a flat screen, the scene must be captured by two cameras, one representing what a left eye would normally see, and one representing what a right eye would normally see. The left and right eye images are then interlaced so as to originate from the same location. A stereoscopic or three-dimensional image is obtained when each eye sees only the corresponding left and right eye portions of the interlaced image.

There are two ways to optically modify the left and right eye portions of the interlaced images so that the left eye sees only the left eye portion of the interlaced image and the right eye sees only the right eye portion of the interlaced image. One way, illustrated in Fig. 1, is to color the left and right eye portions of the interlaced image 100 and to use color filters 101,102 to ensure that the left and right eyes see only the correspondingly colored portions of the interlaced image. The other way to modify the left and right eye images so that each eye will only see appropriate portions of the interlaced image is to polarize the left and right eye images in opposite

directions, and to use oppositely polarized lenses to view the oppositely polarized portions of the interlaced image.

Polarization has significant advantages over color filtering in that it permits the stereoscopic image to be viewed in natural color without the loss of brightness caused by color filtering. Natural color is in general more pleasing to the viewer, while the increased brightness provided by polarization permits the use of lower intensity image sources such as LCD displays of the type used in portable handheld video game players.

In addition, polarization has the advantage that a person wearing polarized lenses can turn away from the interlaced image and view other objects or persons without having to take off the lenses. Since the polarizers and polarizing lenses are transparent, the stereoscopic effect can be created with what appears to the viewer to be ordinary clear lenses, as opposed to the color lenses used in conventional non-polarizing stereoscopic systems.

Despite the well-known advantages of using polarizing filters to distinguish the left and right eye portions of interlaced stereoscopic images, it is currently impossible to use polarization in connection with conventional cathode ray tube or LCD displays because the light emitting pixels

of the displays cannot be made to emit polarized light. As  
a result, unlike stereoscopic displays that use color,  
which can be colorized and interlaced before recording or  
broadcast, stereoscopic displays that use polarization  
5 require that polarization be carried out at the viewing  
location and, in addition, require that interlacing also be  
carried out at the viewing location since it is virtually  
impossible to synchronize or align oppositely polarized  
sheets with the appropriate portions of an image that has  
10 been broadcast or recorded in interlaced form. It is not  
so much the lack of viable polarizers or polarizing filters  
that has limited the available of polarizing stereoscopic  
systems, but rather the lack of a practical image  
interlacing arrangement for interlacing the images  
15 following polarization.

By way of background, examples of image interlacing  
arrangements using relatively complex or expensive optical  
devices such as beam splitters are disclosed in U.S. Patent  
Nos. 5,671,992, 5,993,004, and 5,956,180, while a  
20 stereoscopic device utilizing multiple polarizing sheets is  
disclosed in U.S. Patent No. 5,973,831. In addition, a  
number of arrangements have been proposed for  
electronically synchronizing polarizers with temporally  
interlaced images, i.e., for switching polarization as the  
25 left and right eye images are alternately displayed, but

such systems are even more complex than purely optical arrangements.

The present invention, on the other hand, provides a simple and convenient solution to the problem of interlacing images at the viewing location, making possible practical stereoscopic devices that use polarization instead of color filtering, offering a dramatic improvement over the throw-away stereoscopic effects arrangements currently in use, and a practical alternative to the complex optical or opto-electronic systems proposed in previous patents.

#### **SUMMARY OF THE INVENTION**

It is accordingly a first objective of the invention to provide a practical way of optically interlacing separate left eye and right eye portions of a stereoscopic image, so that the separate portions of the image can be more conveniently recorded or broadcast, and subsequently polarized, as separate images.

It is a second objective of the invention to provide arrangements for interlacing images in a stereoscopic imaging system that can be used with a variety of different

image sources, including split screens, multiple screens,  
and combinations of video and static displays or objects.

It is a third objective of the invention to provide a  
practical arrangement for interlacing oppositely polarized  
5 left and right eye images for use in stereoscopic imaging  
systems and devices.

It is a fourth objective of the invention to provide  
microprism sheets having improved ability to focus or  
direct light to a desired location, and which may be used  
10 to interlace images.

It is a fifth objective of the invention to provide a  
projection screen that serves to interlace images.

It is a sixth objective of the invention to provide a  
handheld device for viewing stereoscopic video images in  
15 true color without loss of brightness, and that can be used  
with an LCD display.

These objectives are achieved, in accordance with the  
principles of various preferred embodiments of the  
invention, by providing a stereoscopic imaging system and  
20 method in which left and right eye images are separately

transmitted to a display device, polarized following display, and combined following polarization.

Image interlacing is providing by an especially simple and effective arrangement involving a a microprism sheet  
5 having one set of surfaces oriented at a first angle corresponding to a position of a first image source, and a second set of surfaces oriented at a second angle corresponding to a position of a second image source so as to interlace the images. By appropriately selecting the  
10 position of the images to be interlaced, and therefore the first and second angles, the interlaced image can be made to project into a single plane. If the images are pre-polarized or otherwise differentiated before interlacing, the interlaced images can thus be directly combined to  
15 exhibit a three-dimensional stereoscopic effect when viewed directly through corresponding lenses.

The separate images combined or interlaced in the preferred stereoscopic imaging system and method of the invention may be displayed on a split screen, multiple  
20 screens arranged horizontally, multiple screens arranged vertically, and may even include images of real objects, as well as images displayed on cathode ray tubes, liquid crystals displays, or any other video or still image displays.

The arrangement of the invention can be used to make an especially simple and yet effective stereoscopic viewing device. Because the invention permits polarization to distinguish left and right eye images, there is no loss of brightness and a relatively dim liquid crystal display can be used as the source of the left and right eye images. The result is a stereoscopic device having a construction that is significantly simpler than the stereoscopic viewing devices or visors of the prior art, which relied on beam splitters or multiple polarizations. Such a stereoscopic device has potential application as a video game player, virtual reality display visor, stand-alone "3D" movie viewer, and so forth.

While especially suitable for use in stereoscopic imaging systems or devices, and in particular those in which the left and right eye portions of a stereoscopic or three-dimensional image are distinguished by opposite polarization, it will be appreciated by those skilled in the art that the image interlacing arrangements of the invention may be used in contexts other than those involving true stereoscopic images, including heads-up displays of various types, closed captioning, or other displays of superimposed images.

In addition to planar microprism sheets disclosed in the parent application, U.S. Patent Application Ser. No. 09/481,942, it is also possible, according to further embodiments of the present invention, to vary the construction of the microprism sheets by varying the shape of individual facets, or by curving the sheets to change the direction of light transmission. Although potentially useful in the context of image interlacing, it will be appreciated by those skilled in the art that this aspect of the invention may be used in a wide variety of microprism applications, including image focusing and projection arrangements in general.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a schematic diagram of a prior art stereoscopic imaging arrangement.

Fig. 2 is a schematic diagram illustrating use of a microprism sheet to interlace images according to the principles of a first preferred embodiment of the invention.

Fig. 3 is a schematic diagram showing a handheld stereoscopic device constructed according to the principles of a second preferred embodiment of the invention.

Fig. 4 is a schematic diagram of an image interlacing arrangement according to a third preferred embodiment of the invention.

Fig. 5 is a schematic diagram of an image interlacing arrangement according to a fourth preferred embodiment of the invention.

Fig. 6 is a schematic diagram of an image interlacing arrangement according to a fifth preferred embodiment of the invention.

Figs. 7A-7C are plan views of modifications of the microprism sheets shown in Figs. 2-6.

#### **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS**

As illustrated in Fig. 2, a microprism sheet 1 is arranged such that light from a first image 2 is refracted by surfaces 3 and light from a second image 4 is refracted by surfaces 5 so as to exit the microprism sheet in parallel and thereby form a single interlaced image 6. The angles of surfaces 3 and 5 are selected based on the position of the microprism and on the relative positions of the separate images, which originate in this embodiment from a split screen divided vertically, horizontally, or in

any other desired manner, so that the separate images, which may correspond to the above-described left eye and right eye images, can easily be polarized by polarizing filters or sheets 7,8 positioned between the image source and the microprism sheet before interlacing for viewing by  
5 appropriately polarized lenses 9,10 after interlacing.

It will be appreciated that the facets of the microprism sheet 1 illustrated in Fig. 2 are not drawn to scale. The construction of the microprism sheet may be  
10 entirely conventional, utilizing the known construction techniques and materials described in copending U.S. Patent Application Ser. No. 09/481,942, or the microprism sheet may be modified to include anti-glare, anti-radiation, or other coatings. In addition, according to the principles  
15 described in the copending application, the separate polarizers 7 and 8 may even be replaced by polarizing coatings on individual facets of the microprism sheet 1.

The simple image interlacing arrangement illustrated in Fig. 1 can easily be integrated into stereoscopic  
20 effects devices such as the one illustrated in Fig. 3. In this device, the image source is provided by an LCD screen 11, polarization by polarizing sheets 12,13, interlacing by microprism sheet 14, and direction of the appropriate image portions to the left and right eyes of the viewer by

eyepieces 15,16 including polarized filters or lenses 17,18, all of which are contained in a housing 19. In addition, it is within the scope of the invention to provide additional optical components (not shown) for the purpose of focusing or guiding light between the illustrated components.

The stereoscopic effects device of this embodiment of the invention can be used as a portable or handheld video game player, or integrated into a variety of other devices such as arcade games, virtual reality visors, aircraft or military training simulators, and any other devices that currently use flat two-dimensional displays, but which might benefit from the addition of stereoscopic effects.

Instead of a single screen image source as illustrated in Fig. 2, the principles of the invention may be extended to cover images that originate on separate screens 20,21, as illustrated in Fig. 4, or arbitrary image sources 22 other than video screens, including real objects, as illustrated in Fig. 5. In addition, by placing a microprism sheet 23 having appropriately shaped facets in front of a mirror 24, or by adding a reflective coating to the back of the sheet, the image interlacing arrangement can possibly be arranged to form an image interlacing projection screen, as illustrated in Fig. 6.

Finally, as illustrated in Figs. 7A-7C, the microprism sheets used to interlace the images in any of the embodiments of Figs. 2-4 need not be planar microprism sheets with uniform facets. It is also within the scope of the invention to vary the size of the facets so as to focus or project images transmitted thereby, as illustrated in Fig. 7A, to curve the sheets to achieve similar effects, as illustrated in Fig. 7B, or to combine the concepts of varying the size of the facets and curving the sheets, as illustrated in Fig. 7C.

Although potentially useful in connection with image interlacing applications as described herein, the microprism sheet modifications illustrated in Figs. 7A-7C may be used in any context in which microprism sheets are conventionally used, and possibly in additional contexts. For example, if the microprism sheet of Fig. 7B is formed in a parabola shape, the microprism sheet can be used as a convenient focusing lens or collimator.

Having thus described a preferred embodiment of the invention in sufficient detail to enable those skilled in the art to make and use the invention, it will nevertheless be appreciated that numerous variations and modifications of the illustrated embodiment may be made without departing from the spirit of the invention. Accordingly, it is

intended that the invention not be limited by the above description or accompanying drawings, but that it be defined solely in accordance with the appended claims.

**What is claimed is:**

1. A stereoscopic imaging system, comprising:

a display arranged to display separate images, one representing a right eye portion of a stereoscopic image, and the other representing a left eye portion of the stereoscopic image;

polarizers arranged to oppositely polarize the left and right eye images;

an image interlacing arrangement for combining the oppositely polarized left eye and right eye images; and

polarizing filters for enabling respective right and left eyes of a person to view the corresponding oppositely polarized and interlaced left and right eye images.

2. A stereoscopic imaging system as claimed in claim 1, wherein the image interlacing arrangement includes:

a microprism sheet including a substrate and a plurality of grooves having intersecting sides that form a v-shape, the sides of the grooves forming first and second sets of substantially planar surfaces,

wherein said sides of the grooves are respectively arranged to refract light from first and second image sources so that said light from said first and second image sources exits said microprism sheet in parallel to form an interlaced image.

3. A stereoscopic imaging system as claimed in claim 2, wherein said first and second image sources are separate regions of a single image display screen.
4. A stereoscopic imaging system as claimed in claim 3, wherein said single image display screen is an LCD screen.
5. A stereoscopic imaging system as claimed in claim 2, wherein said first and second image sources are separate image display screens.
6. A stereoscopic imaging system as claimed in claim 2, wherein one of said image sources is an image source other than a display screen.
7. A stereoscopic imaging system as claimed in claim 2, wherein said first and second image sources display said left and right eye images captured by image capture devices situated at positions corresponding to positions of a viewers eye.
8. A stereoscopic effects device, comprising:  
an image interlacing arrangement including  
at least one video display screen;

a microprism sheet including a substrate and a plurality of grooves having intersecting sides that form a v-shape, the sides of the grooves forming first and second sets of substantially planar surfaces,

wherein said sides of the grooves are respectively arranged to refract light from first and second image sources so that said light from separate first and second images on said video display screen exits said microprism sheet in parallel to form an interlaced image;

polarizers situated between said video display screen and said microprism sheet; and

polarized filters situated between said microprism sheet and respective left and right eyes of a person.

9. A stereoscopic effects device as claimed in claim 8, wherein said microprism sheet, polarizers, and polarized filters are situated in a common housing.
10. A stereoscopic effects device as claimed in claim 9, wherein said housing is a housing of a handheld video game player.
11. A stereoscopic effects device as claimed in claim 10, wherein said video display screen is an LCD screen.

12. A stereoscopic effects device as claimed in claim 8, wherein said video display screen is an LCD screen.
13. A microprism sheet, comprising a plurality of parallel facets defined by grooves having intersecting sides that form a v-shape, wherein dimensions of said facets vary between a center of a sheet and edges of said sheet.
14. A microprism sheet as claimed in claim 13, wherein a size of said facets increases towards the edges of said sheet.
15. A microprism sheet, comprising a plurality of parallel facets defined by grooves having intersecting sides that form a v-shape, wherein said sheet is non-planar.
16. A microprism sheet as claimed in claim 15, wherein dimensions of said facets vary between a center of a sheet and edges of said sheet.
17. A microprism sheet as claimed in claim 16, wherein a size of said facets increases towards the edges of said sheet.

18. A stereoscopic imaging method, comprising the steps of:

displaying separate images, one representing a right eye portion of a stereoscopic image, and the other representing a left eye portion of the stereoscopic image;

oppositely polarizing the left and right eye images;

combining the oppositely polarized left eye and right eye images; and

using polarizing filters to enabling respective right and left eyes of a person to view the corresponding oppositely polarized and interlaced left and right eye images.

19. A stereoscopic imaging method as claimed in claim 18,

wherein the step of combining the images comprises the step of interlacing the images using an image interlacing arrangement that includes:

a microprism sheet including a substrate and a plurality of grooves having intersecting sides that form a v-shape, the sides of the grooves forming first and second sets of substantially planar surfaces,

wherein said sides of the grooves are respectively arranged to refract light from first and second image sources so that said light from said first and second image sources exits said microprism sheet in parallel to form an interlaced image.

20. A stereoscopic imaging method, comprising the steps of:

capturing left eye and right eye portions of an image;

and

transmitting the left and right eye portions of the image to an image display device for display as separate images which can be polarized and combined following display to form an interlaced, oppositely polarized image that, when viewed through polarizing lenses, will exhibited a stereoscopic effect.

## ABSTRACT OF THE DISCLOSURE

A stereoscopic imaging system and method involves transmission of the left and right eye portions of the stereoscopic image as separate images, the separate images being interlaced following display and polarization. The images may conveniently be interlaced or combined using a microprism sheet, the left and right eye portions of the interlaced image being distinguishable by polarized lenses in order to obtain a stereoscopic effect.

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